

UNITED STATES EPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D.C. 20231

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. 08/999,245 12/10/97 J **EDER EXAMINER** LMC1/0801 DAVID R. HALVORSON POINVIL, F BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP **ART UNIT** PAPER NUMBER 12400 WILSHIRE BOULEVARD SEVENTH FLOOR 2768 LOS ANGELES CA 90025 **DATE MAILED:**

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

08/01/00

Office Action Summary

Application No. 09/999,245 Applicant(s)

Eder

Examiner

Group Art Unit Frantzy Poinvil

2768



Responsive to communication(s) filed on _Dec 10, 1997	
☐ This action is FINAL .	
☐ Since this application is in condition for allowance except for formal matters, in accordance with the practice under Ex parte Quay/935 C.D. 11; 453 O.G. 213.	ecution as to the merits is closed
A shortened statutory period for response to this action is set to expire1 more longer, from the mailing date of this communication. Failure to respond within the period application to become abandoned. (35 U.S.C. § 133). Extensions of time may be obtain 37 CFR 1.136(a).	for response will cause the
Disposition of Claim	
X Claim(s) <u>1-43</u>	is/are pending in the applicat
Of the above, claim(s)	is/are withdrawn from consideration
Claim(s)	is/are allowed.
Claim(s)	is/are rejected.
Claim(s)	
X Claims <u>1-43</u> are subj	
Application Papers	
See the attached Notice of Draftsperson's Patent Drawing Review, PTO-948.	
☐ The drawing(s) filed on is/are objected to by the Examine	er.
☐ The proposed drawing correction, filed on is ☐ approve	
☐ The specification is objected to by the Examiner.	_ ··
☐ The oath or declaration is objected to by the Examiner.	
Priority under 35 U.S.C. § 119	
Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)	-(d).
☐ All ☐Some* None of the CERTIFIED copies of the priority documents ha	ave been
received.	
received in Application No. (Series Code/Serial Number)	
$\ \square$ received in this national stage application from the International Bureau (PC	T Rule 17.2(a)).
*Certified copies not received:	
☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).
Attachment(s)	
□ Notice of References Cited, PTO-892	
☐ Information Disclosure Statement(s), PTO-1449, Paper No(s)	
☐ Interview Summary, PTO-413☐ Notice of Draftsperson's Patent Drawing Review, PTO-948	
☐ Notice of Informal Patent Application, PTO-152	
SEE OFFICE ACTION ON THE FOLLOWING PAGES	

Serial Number: 08/999,245 Page 2

Art Unit: 2768

Election/Restriction

1. Restriction to one of the following inventions is required under 35 U.S.C. 121:

- I. Claims 1-37 and 40-43, drawn to a computer implemented method for defining composite variables that represent the performance of elements and value drivers and a computer implemented method for valuing one or more elements of a business enterprise on a specified valuation date, classified in class 705, subclass 7.
- II. Claim 38, drawn to a computer-implemented method for valuing one or more growth options of a business enterprise using an option pricing algorithm, classified in class 705, subclass 7.
- III. Claim 39, drawn to a computer-implemented method of preparing a Value Map for a business enterprise on a specified valuation date using a copywritten Value Map, classified 705, subclass 7.
- 2. Inventions group II (claim 38) and group III (claim 39) are related as subcombinations disclosed as usable together in a single combination. The subcombinations are distinct from each other if they are shown to be separately usable. In the instant case, invention of claim 38 has separate utility such as using an option pricing algorithm for determining prices of manufacturing products. See MPEP § 806.05(d).
- 3. Because these inventions are distinct for the reasons given above and have acquired a separate status in the art as shown by their different classification, restriction for examination purposes as indicated is proper.

Serial Number: 08/999,245 Page 3

Art Unit: 2768

4. Applicant is advised that the response to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37

CFR 1.143).

5. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the

inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently

named inventors is no longer an inventor of at least one claim remaining in the application. Any

amendment of inventorship must be accompanied by a diligently-filed petition under

37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(h).

6. Any inquiry concerning this communication or earlier communications from the Examiner should be directed to Frantzy Poinvil, whose telephone number is (703) 305-9779. The Examiner can normally be reached on Monday through Friday from 7:30 AM to 6:00 PM.

The fax phone number for this Art Unit is (703) 305-9779.

Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 305-3800.

FP

26Jul00

Frantzy Poinvil
Patent Examiner
Art Unit 2768